

03-08-00

A

Please type a plus sign (+) inside the box ☒UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 112007-0022

First Inventor or Application Identifier Larry S. Yaeger et al.

Title METHOD AND APPARATUS FOR ACQUIRING AND ORGANIZING INK
INFORMATION IN PEN-AWARE COMPUTER SYSTEMS

Express Mail Label No. EL056744849US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 202311. ☒ *Fee Transmittal Form (e.g., PTO/SB/17)

(Submit an original and a duplicate for fee processing)

2. ☒ Specification [Total Pages 27]

(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) [Total Sheets 6]

4. Oath or Declaration [Total Pages]

a. ☒ Newly executed (original copy)b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)

[Note Box 5 below]

DELETION OF INVENTOR(S)

i. ☐ Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein6. ☐ Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Sequence Submission

((if applicable, all necessary))

- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (Identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))

37 C.F.R. §3.73(b)

9. ☐ Statement (when there is ☒ Power of Attorney
an assignee)10. ☐ English Translation Document (if applicable)11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations12. ☐ Preliminary Amendment13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)14. ☐ Statement(s) ☐ Statement filed in prior application,
((PTO/SB/09-12) Status still proper and desired15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)16. ☐ Other:

*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. §1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: /

Prior application Information: Examiner

Group/Art Unit:

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name Michael R. Reinemann

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Registration No. (Attorney/Agent) 38,280

Signature

Michael R. Reinemann

Date

March 7, 2000

jc639 U.S. PTO
09/520206

03/07/00

03/07/00

jc682 U.S. PTO
03/07/00

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

(\$ 978

Complete If Known

Application Number	not yet assigned
Filing Date	March 7, 2000
First Named Inventor	Larry S. Yaeger et al.
Examiner Name	not yet assigned
Group / Art Unit	not yet assigned
Attorney Docket No.	112007-0022

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

03-1237

Deposit
Account
Name

Cesari and McKenna, LLP

- ☒ Charge Any Additional Fee Required Under 37 C.F.R. §§1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 C.F.R. §§1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:

- ☒ Check ☐ Money Order ☐ Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
112	920	112	920*
113	1,840	113	1,840*
115	110	215	55
116	400	216	200
117	950	217	475
118	1,510	218	755
128	2,060	128	1,030
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
141	1,320	241	660
142	1,320	242	660
143	450	243	225
144	670	244	335
122	130	122	130
123	50	123	50
126	240	126	240
581	40	581	40
146	790	246	395
149	790	249	395
Other (specify)			
Other fee (specify)			
SUBTOTAL (3)			(\$ 0

*Reduced by Basic Filing Fee Paid

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
101	790	201	395
106	330	206	165
107	540	207	270
108	790	208	395
114	150	214	75
SUBTOTAL (1)			(\$ 690

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
36	20**	16 x 18	288
Independent Claims	3**	0 x 78	0
Multiple Dependent			0

**or number previously paid, if greater; For Reissues, see below

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	22	203	11	Claims in excess of 20
102	82	202	41	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	82	209	41	**Reissue independent claims over original patent
110	22	210	11	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				(\$ 288

SUBMITTED BY

Typed or
Printed Name

Michael R. Reinemann

Signature

Michael R. Reinemann

Date

March 7, 2000

Complete (if applicable)

Reg. Number

38,280

Deposit Account User ID

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:)	
Larry S. Yaeger et al.)	
)	
Serial No.: not yet assigned)	Examiner: not yet assigned
)	
Filed: March 7, 2000)	
)	Art Unit: not yet assigned
For: METHOD AND APPARATUS FOR)	
ACQUIRING AND ORGANIZING)	
INK INFORMATION IN PEN-)	
AWARE COMPUTER SYSTEMS)	
		Cesari and McKenna, LLP
		30 Rowes Wharf
		Boston, MA 02110
		March 7, 2000

EXPRESS-MAIL DEPOSIT

"Express Mail" Mailing-Label Number: EL056744849US

The following papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" in an envelope addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231 on March 7, 2000.

<u>X</u> Patent Application (27 pages including 36 claims)	
<u>X</u> Declaration and Power of Attorney (2)	<u>X</u> Fee Transmittal Letter
<u>X</u> Utility Patent Application Transmittal Letter	<u>X</u> Assignment With Recordation Form Cover Sheet (2)
<u>X</u> Informal Drawings (6 sheets)	<u>X</u> Check for \$978.00
<u>X</u> Two Checks for \$40.00	